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Receipt

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Daniel S. Sem ) Examiner: Not Yet Assigned  
Serial No.: 09/765,696 ) Group Art Unit: 1627  
Filed: January 19, 2001 )  
For: MULTI-PARTITE LIGANDS ) I hereby certify that this correspondence  
AND METHODS OF ) is being deposited with the United States  
IDENTIFYING AND USING ) Postal Service as first class mail in an  
SAME ) envelope addressed to: Commissioner for  
Patents, Washington, D.C., 20231, on  
April 30, 2001.  
By Deborah L. Cadena  
Deborah L. Cadena, Reg. No. 44,048

Commissioner for Patents  
Washington, D.C. 20231

April 30, 2001  
Date of Signature

ATTN: **Office of Initial Patent Examination**  
**Customer Service Center**

CORRECTION OF FILING RECEIPT

Sir:

The filing receipt issued in the above-identified application is incorrect for the reasons set forth below:

The filing receipt issued in the above-identified application shows the Total Independent Claim as 3. This is incorrect. As shown on the attached copy of the Transmittal of Utility Patent Application for Filing (Exhibit A), which was filed with the application on January 19, 2001, the Total Independent Claim is 2.

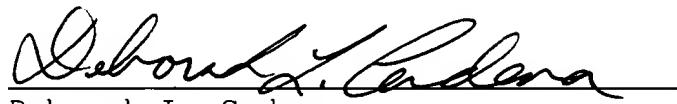
Attached is a copy of the Filing Receipt (Exhibit B) with the change noted thereon. Applicants accordingly request that the correction be made of record and that an amended Filing Receipt be issued.

Inventor: Daniel S. Sem  
Serial No.: 09/765,696  
Filed: January 19, 2001  
Page 2

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge any fees to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: April 30, 2001

  
Deborah L. Cadena  
Registration No. 44,048  
Telephone No.: (858) 535-9001  
Facsimile No.: (858) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
7<sup>th</sup> Floor  
San Diego, California 92122  
**USPTO CUSTOMER NO. 23601**

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MAY 07 2001

PATENT  
Our Docket TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
Daniel S. Sem )  
)  
Serial No.: 09/765,696 )  
)  
Filed: January 19, 2001 )  
)  
For: MULTI-PARTITE LIGANDS )  
AND METHODS OF )  
IDENTIFYING AND USING )  
SAME )  
\_\_\_\_\_  
**BOX SEQUENCE**

Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence  
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envelope addressed to: Commissioner for  
Patents, Attention Box Sequence,  
Washington, D.C., 20231, on April 30,  
2001.

By Deborah L. Cadena  
Deborah L. Cadena, Reg. No. 44,048

April 30, 2001

Date of Signature

Sir:

TRANSMITTAL

Applicants respectfully request consideration of the  
following:

- (1) a copy of the sequence listing in computer  
readable form;
- (2) a Preliminary Amendment;
- (3) a paper copy of sequence listing, pages 1 and 2;  
and
- (4) a Statement Under 37 CFR §1.821(f) and (g).

Inventors: Daniel S. Sem  
Serial No.: 09/765,696  
Filed: January 19, 2001  
Page 2

Please charge any additional fees or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Deborah L. Cadena  
Registration No. 44,048  
Telephone: (858) 535-9001  
Facsimile: (858) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
7<sup>th</sup> Floor  
San Diego, California 92122  
**USPTO CUSTOMER NO. 23601**



UTILITY PATENT APPLICATION TRANSMITTAL (only for continuation and divisional applications under 37 CFR 1.53(b))	Docket No.: P-TB 4567
	Prior Application Info: Examiner: M. Garcia Group/Art Unit: 1627

Address to: COMMISSIONER FOR PATENTS  
**Box Patent Application**  
Washington, D.C. 20231



CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER: EL 690 155 234 US

DATE OF DEPOSIT: January 19, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
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ADDRESSED TO: BOX PATENT APPLICATION,  
COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231.

*Eliza Beth Conaugton*

(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)

*Eliz*

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

This is a request for filing a  
— continuation  divisional

application under 37 CFR 1.53(b), of pending prior application  
serial no. 09/083,537, filed May 21, 1998 (list only immediate  
prior application).

Title: **MULTI-PARTITE LIGANDS AND METHODS OF IDENTIFYING AND  
USING SAME**

Inventor(s) (full name of each inventor): **DANIEL S. SEM**

No abandonment of, or termination of proceedings, has occurred in  
the above-identified prior application.

1.  An application based on the prior application as filed  
and containing no new matter is enclosed, consisting  
of:  
  - 1 page application cover sheet
  - 62 pages of specification (includes claims and  
abstract)
  - 2 sheets of formal drawings.
2.  2 pages of a copy of the oath or declaration as  
originally filed on July 31, 1998, in prior  
application (37 CFR 1.63(d)), U.S. serial no.  
09/083,537, filed May 21, 1998, is enclosed.
3.  A signed statement DELETING inventor(s) named in the  
prior application (37 CFR 1.63(d) (2) and 1.33(b)) is  
attached.
4.  Nucleotide and/or Amino Acid Sequence Submission is  
enclosed:  
  - computer readable copy of sequence listing
  - paper copy of sequence listing, pages \_\_\_\_\_ through  
\_\_\_\_\_  
Statement Under \_\_\_\_\_  
 Request to Use Computer Readable Form of Sequence  
Listing From Another Application  
 Other:

**EXHIBIT A**

Inventor: Daniel S. Sem  
Docket No.: P-TB 4567  
Page 2

5.  Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under item no. 2 of this form, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.
6.  Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior application.
7.  A preliminary communication is enclosed.
8. Small entity status:
  - a.  A small entity statement is enclosed.
  - b.  A small entity statement was filed in prior application serial no. 09/083,537, and such status is still proper and desired.
  - c.  is no longer claimed.
9.  Amend the specification by:
  - inserting before the first paragraph on page 1: or
  - deleting the paragraph on page 1 regarding related applications and inserting therefor:

This application is a divisional of application serial no. 09/083,537, filed May 21, 1998.
10.  Cancel in this application original claims 15-36 of the prior application before calculating the filing fee.  
(At least one original independent claims must be retained for filing purposes.)
11. The prior application is assigned of record to Triad Biotechnology, Inc.
12. The power of attorney in the prior application is to Cathryn Campbell, CAMPBELL & FLORES LLP.
13.  A copy of a change in power of attorney or authorization of agent as filed in the prior application (37 CFR 1.63(d)(4)) is enclosed.
14.  A return receipt postcard is enclosed.
15.  A Patent Application Bibliographic Data Sheet is enclosed.
16.  Request for Nonpublication and Certification is enclosed.

Inventor: Daniel S. Sem  
Docket No.: P-TB 4567  
Page 3

17. Also enclosed:

The filing fee is calculated below:

	NUMBER FILED		NUMBER EXTRA		RATE	FEE
TOTAL CLAIMS	14-20	=	0	x	\$9 \$18	0
INDEPENDENT CLAIMS	2-3	=	0	x	\$40 \$80	0
MULTIPLE DEPENDENT CLAIMS					\$135 \$270	0
YES		X	NO			
BASIC FEE					\$355 \$710	\$355
TOTAL FEE						\$355

18.  A check in the amount of \$355.00 is enclosed. A duplicate copy of this sheet is enclosed.

19.  The filing fee is not enclosed. Do not charge our deposit account.

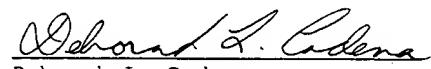
20.  The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Address all future communications to:

Cathryn Campbell  
CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive, 7<sup>th</sup> Floor  
San Diego, California 92122  
telephone: (858) 535-9001  
facsimile: (858) 535-8949  
**USPTO CUSTOMER NO. 23601**

Respectfully submitted,

Date: January 19, 2001

  
Deborah L. Cadena  
Registration No. 44,048  
Attorney for Applicant  
CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
7<sup>th</sup> Floor  
San Diego, California 92122

inventor(s)

attorney or agent of record

assignee of complete  
interest

filed under Sec. 1.34(a)



UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 24 2001

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/765,696	01/19/2001	1627	355	P-TB 4567	2	14	32

CONFIRMATION NO. 6467

23601  
CAMPBELL & FLORES LLP  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

FILING RECEIPT



\*OC00000005861761\*

Date Mailed: 03/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Daniel S. Sem, San Diego, CA;

**Assignment For Published Patent Application**

Triad Biotechnology, Inc.,;

**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A DIV OF 09/083,537 05/21/1998

**Foreign Applications**

**If Required, Foreign Filing License Granted** 03/14/2001

**Projected Publication Date:** 06/21/2001

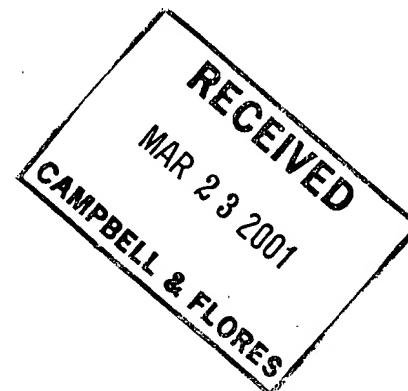
**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**EXHIBIT B**

**Title**



Multi-partite ligands and methods of identifying and using same

Preliminary Class

436

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Data entry by : STEPHANOS, FEKERTE

Team : OIPE

Date: 03/14/2001

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231